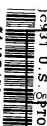


01/25/02



**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Only for new nonprovisional applications under 37 CFR 1.53(d)

Attorney Docket No.	218409US6
First Inventor or Application Identifier	Tomiji TANAKA, et al.
Title	OPTICAL RECORDING MEDIUM AND STAMPER FOR MANUFACTURING THE SAME
Assignee Name:	SONY CORPORATION
Assignee Address:	7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo, 141-0001 Japan

10/05/99
10/25/02

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents

1. Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Specification Total Sheets

3. Formal Drawing(s) (35 U.S.C. 113) Total Sheets

Oath or Declaration Total Pages

a. Newly executed (original or copy)

b. Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 17 completed)

i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b)

CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. Computer Readable Form (CRF)

b. Specification or Sequence Listing on :
i. CD-ROM or CD-R (2 copies); or
ii. Paper

c. Statements verifying identity of above copies

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))

8. Application Data Sheet. See 37 CFR 1.76

9. 37 C.F.R. §3.73(b) Statement Power of Attorney
(when there is an assignee)

10. English Translation Document (if applicable)

11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

12. Preliminary Amendment

13. White Advance Serial No. Postcard

14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

15. Applicant claims small entity status.
See 37 CFR 1.27

16. Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:
 Continuation Divisional Continuation-in-part (CIP) of prior application no.:
Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:
 This application is a Continuation Division Continuation-in-part (CIP)
of application Serial No. Filed on
 Which was published in English
 Which was not published in English
 This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



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Name:	Gregory J. Maier	Registration No.:	25,599
Signature:	<i>Irvin McClelland</i>	Date:	1/25/02
Name:	C. Irvin McClelland	Registration No.:	Registration Number 21,124

Docket No. 218409US6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Tomiji TANAKA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: OPTICAL RECORDING MEDIUM AND STAMPER FOR MANUFACTURING THE SAME

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	6 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$84 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
			BASIC FEE	\$740.00
			TOTAL OF ABOVE CALCULATIONS	\$870.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
			TOTAL	\$870.00

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- Please charge Deposit Account No. 15-0030 in the amount of _____ A duplicate copy of this sheet is enclosed.
- A check in the amount of \$870.00 to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Gregory J. Maier

Date: 1/25/02

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